

Press Release

June 20, 2024

AIZAWA SECURITIES GROUP CO., LTD.
(Securities Code: 8708 TSE Prime Market)

Aizawa Asset Management Co., Ltd.
Regarding the leak of personal information (follow-up report)

We hereby announce the attached press release by Aizawa Asset Management Co., Ltd., our consolidated subsidiary.

End

Regarding the leak of personal information (follow-up report)

On May 31, 2024, Aizawa Asset Management Co., Ltd. (hereinafter referred to as “Company”) posted an announcement on the official website in regard to a data leak following an unauthorized access of an account, at a cloud email service provider, held by the Company by an outside party.

Up until this point, an internal investigation was conducted in an effort to reveal all facts relating to the unauthorized access, but as of June 14, 2024, a third-party (an external security specialist) has been hired for further investigation.

Results of the investigation will be promptly announced on the official Company website as soon as they become available. We sincerely apologize for any inconvenience or concerns caused to our customers and related parties.

1. Background

On May 13, 2024, approximately 800 spam emails soliciting investments were sent to relevant parties outside of the organization. These spam emails were based on roughly 2 years’ worth of emails of an employee stored on the server of a cloud email service provider, obtained through fraudulent means. Measures to contain the damage were immediately taken followed by an apology letter, which was sent to all recipients of the spam email, on May 15, 2024. Further, as previously mentioned, on June 14, 2024, a third-party investigation organization “Secure Innovation Inc.” (hereinafter “Secure Innovation”) has been hired to conduct a digital forensic investigation*. We expect to receive a final report from Secure Innovation by July 5, 2024.

* 1: Digital forensics investigation to be conducted:

- An examination of the PC of the affected employee to investigate how the authentication for the individual’s account was stolen (Including any malware infection).
- The inspection of the audit logs of the cloud email service provider to uncover any causes for the breach, and for the investigation of the emails downloaded through illicit means.

2. Details of the information that may have been leaked

Email addresses, individual names, company names, department names, telephone numbers, etc. of our clients and employees.

3. Moving forward

With the help of Secure Innovation, our investigation will continue, and any reports will be promptly communicated to you.

<Contact for inquiries regarding this matter>

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