

Press Release

June 3, 2024

AIZAWA SECURITIES GROUP CO., LTD.
(Securities Code: 8708 TSE Prime Market)

Aizawa Asset Management Co., Ltd.
Regarding the leak of personal information

We hereby announce the attached press release by Aizawa Asset Management Co., Ltd., our consolidated subsidiary.

End

Regarding the leak of personal information

It has recently come to light that there has been a leak of information due to unauthorized external access at Aizawa Asset Management Co., Ltd. (hereinafter referred to as "Company"). We sincerely apologize for any inconvenience or concern this may have caused to those affected.

1. Overview of the leak

Between May 12, 2024 and May 13, 2024, approximately 2 years' worth of emails, stored on the Company's cloud email service provider of an employee, may have been downloaded, and approximately eight hundred spam emails to encourage investments were sent to relevant external parties on May 13, 2024. At this time, over a thousand pieces of personal information (including nine occurrences of personal client information, such as the names of our discretionary investment contract clients, investors in partnerships, and other entities managed by our firm) contained in the downloaded emails are considered to have been compromised.

2. Details of the information that may have been exposed

Email addresses, individual names, company names, department names, telephone numbers, etc. of our clients and employees.

3. Spam emails

Please note that our investigation has confirmed that the spam emails sent from our company's domain did not contain any viruses. However, the use of blind carbon copy (BCC) for the mass distribution of these emails limits our ability in determining the extent of the impact. Therefore, erring on the side of caution of a possibility that our investigation could not capture any unforeseen unauthorized access, we ask that you do not to open any suspicious email attachments and instead to delete the email in its entirety.

4. Measures to prevent recurrence

The password of the employee of our company affected by the unauthorized access has been changed. Furthermore, the passwords of all officers and employees of our company have been changed as well. There has been no evidence of unauthorized access or any other incidents since the passwords were changed.

In addition to the company-wide password change, the Company is in the final stages of enacting additional preventative measures, including multi-factor authentication (MFA), which has been fully implemented.

In consideration of above situation, in addition to an apology email sent on May 15, 2024 to all affected individuals, we will continue our investigation and provide any new findings.

Our Company takes information security, including the prevention of any unauthorized access, very seriously, and it is of the Company's utmost importance to continue to strengthen our personal information management system to prevent any recurrences.

We will continue to investigate and report the results.

<Contact for inquiries regarding this matter>

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